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 Washington, DC 20036  
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**Areas of Practice**

Securities Litigation & Enforcement

Corporate Governance

Crisis Management

White-Collar Criminal Defense

FCPA/Anti-Corruption

International Regulation & Compliance

India

Litigation

**Languages**

French

**Education**

Columbia Law School,  
 J.D., 1992

Columbia College, B.A.,  
 1986

**Bar & Court Admissions**

District of Columbia

**Philip S. Khinda**

Philip S. Khinda is a partner in the Washington office of Steptoe & Johnson LLP, and focuses on internal investigations as well as securities enforcement and corporate governance matters. He is co-head of the firm's SEC Enforcement practice, and Chair of the Ethics and Investigations Subcommittee of the American Bar Association's Corporate Governance Committee.

Mr. Khinda regularly assists corporate boards and management in conducting internal investigations, and in addressing sensitive governance and financial issues, including in connection with related government investigations and securities litigation. His leadership of the CalPERS Special Review, its fee recovery of over \$200 million for the nation's largest state pension fund, and its March 2011 public report on pay-to-play and public corruption issues are recent representative efforts.

Mr. Khinda also represents and defends public companies and institutions, their officers and directors, regulated entities, and others in matters before the Securities and Exchange Commission, the Department of Justice, state prosecutors and other regulatory authorities. He is well-known for the many government investigations and inquiries he has resolved for clients without any charges ever being filed, or any public disclosure of the government's interest ever being made. Those clients have included a broad group of public companies, private equity firms, hedge funds, and investment advisers as well as corporate executives and public figures. While Mr. Khinda has served as successor and settlement counsel for a variety of institutional and individual clients, no corporation or individual that he has represented from the outset of an investigation has ever been sued by the SEC or indicted.

Mr. Khinda has advised and represented individual, corporate, and board committee clients in connection with many of the most significant securities matters in the last decade, including publicly-disclosed government investigations and litigation involving Rite Aid, Enron, Adelphia, Global Crossing, AOL Time Warner, UBS and JPMorgan, among others, appearing before US and international securities regulators on their behalf. Over the last five years, he has also assisted a series of pension fund and mutual fund boards and board committees that investigated matters underlying the highest-profile and most complex government investigations and actions ever to face the investment management community, including leading institutions in the mutual fund market timing matters, in the mutual fund marketing kickback matters, and in the current wave of pension fund pay-to-play and public corruption matters.

Earlier in his career, Mr. Khinda served as a staff attorney and senior counsel with the SEC's Division of Enforcement, where he led a number of high-profile and sensitive investigations, including financial fraud, investment management, market manipulation, and insider trading matters. He was previously an analyst and associate with Morgan Stanley & Company in New York.

## Philip S. Khinda

For over a decade, Mr. Khinda has served as an Adjunct Professor of Law at Georgetown University, and has published and taught on financial reporting and accounting, corporate governance, crisis management, securities regulation, and SEC enforcement matters. He is a frequent public speaker on these topics as well, and his work and legal commentary have been covered widely by the press, including *The Wall Street Journal*, *The New York Times*, *Financial Times*, the *Los Angeles Times*, the *San Francisco Chronicle*, *Reuters*, and *Bloomberg*, among others. Active in international matters, Mr. Khinda also serves on the Financial Services Committee of the US-India Business Council.

### Select Seminars & Events

"Internal Investigations from a Governance, Regulatory, and Enforcement Perspective," *ABA 2012 Spring Meeting*, March 22, 2012

"Internal Investigations and Board Committee Reviews: Dealing with Difficult Matters in Difficult Times," Moderator of Panel, ABA Annual Meeting, Chicago (July 2009)

"Citigroup and Countrywide: Their expected effects in the Boardroom and the Courtroom," ABA Webcast (June 2009)

"Corporate Governance and Board Oversight" at "The Indian Opportunity," a US-India Business Council/FWA event, New York (May 2009)

"Hedge Funds: A Brave New World of Regulation and Enforcement," Securities Docket Webcast (May 2009)

Co-Chair, Executive Enterprise Institute Annual SEC Enforcement Conference, Moderator of SEC Enforcement Staff Panel and presenter on Corporate Governance (Chicago, June 2007 and New York, May 2007)

### Selected Publications

The Longer Arm of the Law in New York  
October 28, 2011, *Securities Docket*

FCPA Year in Review 2010  
March 15, 2011

The Rise and Rise of the NYAG  
May 2010, *Securities Docket*

International Law Advisory - Flurry of FCPA Cases Closes Out 2009;  
Enforcement Continues Apace in Early 2010  
March 16, 2010

## **Philip S. Khinda**

Private Investor Frederic Bourke Sentenced to Prison and \$1 Million Fine  
November 16, 2009, *International Law Advisory*

International Law Advisory - SEC Adopts Several Expansive Applications of the  
Federal Securities Laws in the Recent FCPA Enforcement Action Against  
Nature's Sunshine and Two Senior Executives  
September 8, 2009

International Law Advisory - Private Investor Convicted for Involvement in  
Scheme to Bribe Officials in the Republic of Azerbaijan  
July 22, 2009

The Nuances of Securities Reform  
March 30, 2009, *New York Law Journal*

### **Professional Affiliations**

Chair, Ethics and Investigations Subcommittee, American Bar Association's  
Corporate Governance Committee