



International Conference

FOOD CONTACT COMPLIANCE

25-27 September 2018, Baveno, Italy

Relationship between FCM and REACH compliance - What's up? -

Dr. Anna Gergely, Director EHS
Step toe & Johnson, Brussels

www.stepto.com



Content

- REACH and FCM compliance
- Further REACH obligations after May 2018
- How to ensure continued compliance in the supply chain?
- FCM under the BPR
- Brexit considerations

THE REACH REGULATION (Regulation (EC) No 1907/2006)


- Applies since June 2007
- Covers **substances** on their own, **in preparations** and **in articles**
- Requires registration of substances manufactured and/or marketed **above 1 MT/year** . **Last phase-in registration deadline (for > 1MT) was 31 May 2018.**
- Registration includes: a) a technical dossier; b) chemical safety report (CSR) when required
- Certain (very limited) **exemptions**: polymers; intermediates; Annex IV (minimum risk) and Annex V (registration deemed inappropriate or unnecessary) substances

THE REACH REGULATION – as amended

► B ► CI REGULATION (EC) No 1907/2006 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

of 18 December 2006

concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC

(Text with EEA relevance) ◀
(OJ L 396, 30.12.2006, p. 1)


Amended by:

		Official Journal		
		No	page	date
► <u>M1</u>	Council Regulation (EC) No 1354/2007 of 15 November 2007	L 304	1	22.11.2007
► <u>M2</u>	Commission Regulation (EC) No 987/2008 of 8 October 2008	L 268	14	9.10.2008
► <u>M3</u>	Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008	L 353	1	31.12.2008
► <u>M4</u>	Commission Regulation (EC) No 134/2009 of 16 February 2009	L 46	3	17.2.2009
► <u>M5</u>	Commission Regulation (EC) No 552/2009 of 22 June 2009	L 164	7	26.6.2009
► <u>M6</u>	Commission Regulation (EU) No 276/2010 of 31 March 2010	L 86	7	1.4.2010
► <u>M7</u>	Commission Regulation (EU) No 453/2010 of 20 May 2010	L 133	1	31.5.2010
► <u>M8</u>	Commission Regulation (EU) No 143/2011 of 17 February 2011	L 44	2	18.2.2011
► <u>M9</u>	Commission Regulation (EU) No 207/2011 of 2 March 2011	L 58	27	3.3.2011
► <u>M10</u>	Commission Regulation (EU) No 252/2011 of 15 March 2011	L 69	3	16.3.2011

► <u>M23</u>	Council Regulation (EU) No 517/2013 of 13 May 2013	L 158	1	10.6.2013
► <u>M24</u>	Commission Regulation (EU) No 1272/2013 of 6 December 2013	L 328	69	7.12.2013
► <u>M25</u>	Commission Regulation (EU) No 301/2014 of 25 March 2014	L 90	1	26.3.2014
► <u>M26</u>	Commission Regulation (EU) No 317/2014 of 27 March 2014	L 93	24	28.3.2014
► <u>M27</u>	Commission Regulation (EU) No 474/2014 of 8 May 2014	L 136	19	9.5.2014
► <u>M28</u>	Commission Regulation (EU) No 895/2014 of 14 August 2014	L 244	6	19.8.2014
► <u>M29</u>	Commission Regulation (EU) 2015/282 of 20 February 2015	L 50	1	21.2.2015
► <u>M30</u>	Commission Regulation (EU) 2015/326 of 2 March 2015	L 58	43	3.3.2015
► <u>M31</u>	Commission Regulation (EU) 2015/628 of 22 April 2015	L 104	2	23.4.2015
► <u>M32</u>	Commission Regulation (EU) 2015/830 of 28 May 2015	L 132	8	29.5.2015
► <u>M33</u>	Commission Regulation (EU) 2015/1494 of 4 September 2015	L 233	2	5.9.2015
► <u>M34</u>	Commission Regulation (EU) 2016/26 of 13 January 2016	L 9	1	14.1.2016
► <u>M35</u>	Commission Regulation (EU) 2016/217 of 16 February 2016	L 40	5	17.2.2016
► <u>M36</u>	Commission Regulation (EU) 2016/863 of 31 May 2016	L 144	27	1.6.2016
► <u>M37</u>	Commission Regulation (EU) 2016/1005 of 22 June 2016	L 165	4	23.6.2016
► <u>M38</u>	Commission Regulation (EU) 2016/1017 of 23 June 2016	L 166	1	24.6.2016
► <u>M39</u>	Commission Regulation (EU) 2016/1688 of 20 September 2016	L 255	14	21.9.2016
► <u>M40</u>	Commission Regulation (EU) 2016/2235 of 12 December 2016	L 337	3	13.12.2016
► <u>M41</u>	Commission Regulation (EU) 2017/227 of 9 February 2017	L 35	6	10.2.2017
► <u>M42</u>	Commission Regulation (EU) 2017/706 of 19 April 2017	L 104	8	20.4.2017
► <u>M43</u>	Commission Regulation (EU) 2017/999 of 13 June 2017	L 150	7	14.6.2017
► <u>M44</u>	Commission Regulation (EU) 2017/1000 of 13 June 2017	L 150	14	14.6.2017
► <u>M45</u>	Commission Regulation (EU) 2017/1510 of 30 August 2017	L 224	110	31.8.2017
► <u>M46</u>	Commission Regulation (EU) 2018/35 of 10 January 2018	L 6	45	11.1.2018

THE REACH REGULATION – main impact

- Article 5: **No data, no market**

Subject to Articles 6, 7, 21 and 23, substances on their own, in mixtures or in articles shall **not be manufactured** in the Community **or placed on the market** **unless** they have been **registered** in accordance with the relevant provisions of

this Title where this is required

- Registration is just the **FIRST** letter of **REACH!**
 - Scope updates (nanomaterials, polymers, endocrine disruptors, etc.?)
 - Dossier updates
 - Dossier evaluations
 - Substance evaluations (Authorisation and Restrictions)
 - Classification and labelling
 - Enforcement
- **ALL THESE ALSO COVER FCMs!**

THE REACH REGULATION – further impacts

CHEMICAL SAFETY ASSESSMENT (CSA)

- CSA should be performed for each substance subject to registration above 10 MT/y
- CSR should document the assessment
 - First step: hazard assessment
 - If classified dangerous: exposure assessment
 - Risk characterization: addressing all **identified uses** of the registrant
- Appropriate safety measures need to be communicated in **Safety Data Sheets** (SDS)
- **ALL THESE ALSO COVER FCMs!**

THE REACH REGULATION – further impacts

REQUIREMENTS FOR SDS

- Required when the substance meets the criteria for classification as dangerous
- Information in the SDS should be consistent with the information in the CSA
- The SDS should contain in an annex the **relevant exposure scenarios (incl. use and exposure categories)** for **all identified uses** as per the CSR

IDENTIFIED USES

- REACH Registration includes information on **identified uses** of the substance
- If the substance is classified as dangerous, the CSA for REACH includes **exposure assessment** addressing all identified uses

ALL THESE ALSO COVER FCMs!

THE REACH REGULATION – further impacts

Substances of Very High Concern (Article 57 REACH)

- Human health: Cat 1 or 2 CMR
- Environment: PBT; vPvB
- Or equivalent

Candidate List: different obligations also in articles to allow safe use

- notification: if content > 0.1% and volume > 1MT (Art 7(2) REACH)
- communication: if content >0.1% (Art 33 REACH)

ALL THESE ALSO COVER FCMs!

THE REACH REGULATION – further impacts

AUTHORISATION:

- **SVHC included in Annex XIV** cannot be used after their sunset date unless the use is authorised, exempt or other specific conditions apply (Article 56 REACH)
- Uses (or categories of uses) may be exempted if risk is properly controlled by other specific Community measure (Art. 58(2))

RESTRICTION:

- Substances listed in **Annex XVII** can only be manufactured, placed on the market or used in **compliance with the restrictions** therein.
- **ALL THESE ALSO COVER FCMs!**

Has REACH achieved its goals so far? – In Numbers from ECHA

- **21500 registered substances** (vs. > 100 000 existing chemicals and cca. 40 000 estimated substances (for 2018: 6 824 vs. estimated 25000))
 - Minus non-phase-in and intermediates
- **89 750 registration dossiers** for all tonnage bands
 - **In average 4 registrants per substance**
- **13 995 companies registered** (vs. 28 329 existing companies in EU28 (data: CEFIC Landscape 2018))
- SMEs: only 17% → expected to be the largest number in 2018 (Ueapme)

Has REACH achieved its goal? - Compliance

- The number of registered substances is much lower than expected – are there many **substances** on the EU market > 1 MT **without registration**?
- The number of individual registrations for each registered substance is much lower than expected – are there **manufacturers/importers** on the EU market > 1 MT **without registration**?
- About half of **EU companies have not submitted any registration** – are they all only DUs?
- Large number of **registration dossiers are non-compliant**

ECHA: “it’s a bit too early to say”

CEFIC: “we are convinced that the majority of substances ... have been successfully registered”



Regulatory Compliance of FCM – a moving target

- **REACH**
- **BPR**
- **...and other regulatory requirements**

What is changing after 1 June 2018? – Legal effects

- No more reliance on **pre-registration**
 - Except: ECHA newsletter 6 June 2018: “If you have pre-registered or inquired about your phase-in substance, you can register it directly (**until further notice**, you can still use the pre-registration number).”
 - → pre-registration numbers may still allow you to register
- No more **SIEFs**
 - Recommendation of Directors Contact Group (COM, ECHA, industry): “co-registrants of phase-in substances to have a functional form of cooperation **after 31 May 2018**”
 - cooperation should remain in place for other obligations: updates, dossier and substance evaluation, data sharing obligations continue after 1 June 2018
- **Registration of all substances only as new**: Article 26 inquiry procedure + data sharing duties under Article 27 of REACH
- **ALL OTHER EFFECTS OF REACH CONTINUES ALSO for FCMs!**

What is changing after 1 June 2018? - Company events

- Changes in the supply chain
- Changes in identity/number of registrants
- Changes in volumes
- Changes in information on substance
- Changes in regulation of substance
 - Other REACH processes
 - Other pieces of legislation (BPR, POP, PIC, **FCM...**)
-  **Contractual agreements must provide for post-registration events**
-  **Continued communication in supply chain and “SIEF” is essential**

What **should** change after 1 June 2018? - ECHA/Commission/MS focus

- **Ensure genuine level playing field via ECHA:** focusing on the **most severe cases of non-compliance**
 - Empty dossiers
 - Poor quality dossiers
 - Leniency dossiers (exceptional scenarios and pending data sharing disputes)
- **Ensure genuine level playing field via MS Enforcement:** focusing on the **most severe cases of non-compliance**
 - Substances not registered at all; M/I has no valid registration
 - List of **registered substances/registrants** to be provided to **customs** authorities (as the Article 95 List under the Biocidal Product Regulation?)

What is changing after 1 June 2018? - the second Review actions (March 2018)

- Action 1: Encourage registration **dossier updates**
- Action 2: Improve **evaluation** procedures
- Action 3: Improve workability and quality of **extended safety data sheets**
- Action 4: Better tracking of **substances of concern** in the supply chain
- Action 5: Promote **substitution of SVHCs**
- Action 6: Simplify the **authorisation** process
- Action 7: Provide early **socio-economic information** for possible regulatory measures
- Action 8: Improve the **restriction** procedure
- Action 9: Further enhance **member state involvement** in the restriction procedure
- Action 10: Frame the application of the **precautionary principle**
- Action 11: Greater interplay between authorisation and restriction
- Action 12: Remove overlaps in **REACH and Osh** legislation interface
- **Action 13: Enhance enforcement**
- Action 14: Support **SME** compliance
- Action 15: Address fees and the **future of Echa**
- Action 16: Review registration requirements for **low tonnage substances and polymers**

FCMs under the BPR

- Products within the scope of Regulation 1935/2004 (the **Framework Regulation**) are no longer excluded from the scope of the BPR
- Scope of the Framework Regulation:
 - Materials and articles, including active and intelligent food contact materials and articles, **which in their finished state**:
 - are intended to be brought into contact with food; or
 - are already in contact with food and were intended for that purpose; or
 - can reasonably be expected to be brought into contact with food or to transfer their constituents to food under normal or foreseeable conditions of use.
- **Annex I** of Regulation 1935/2004 lists **17 groups of materials** covered by its scope; including Plastics, Paper, Rubber, Glass, Ceramics, Silicones, Textiles, Wood; but also Printing inks, Adhesives, and Coatings

Food contact plastics under the BPR

- Plastics Regulation 10/2011 has a **positive list for all authorised additives** (with some **important derogations**) – the Union list
 - ‘additives’ means a substance which is intentionally added to plastics to achieve a physical or chemical effect during processing of the plastic or in the final material or article; it is intended to be present in the final material or article;
 - ‘polymer production aid’ means any substance used to provide a suitable medium for polymer or plastic manufacturing; it may be present but is neither intended to be present in the final materials or articles nor has a physical or chemical effect in the final material or article;
- **Surface biocides are considered additives**, so for plastics applications they should be listed on the Union list
- **Process biocides** may still be used as **Polymer Production Aids (PPAs)** are under derogation from the Union list
- **Food preservatives** are **excluded from the scope of the BPR**, as covered by Regulation (EC) No 1333/2008 on food additives

Regulatory analysis – What would be needed?

- **Dual obligations:**
 - **Exclude surface biocides** for use **in food contact plastics** materials and articles from the scope of the Union List under **the Plastics Regulation** and **refer** to their authorization under the **BPR**
 - **Review derogation** under Article 6 of the Plastics Regulation for **Provisional list**
 - **Coordinate ECHA** and **EFSA** for the active substance authorisation and restrictions in food contact use (**SMLs**)
- Specific **legislative changes in national legislation** for incorporating the biocides measures under the BPR – **replacing potential binding national rules for biocides in food contact uses**

And, if this isn't difficult enough - Brexit considerations – “what if”

- UK **Only Representative**: Article 8 of REACH “... established in the Community ...”
 - transfer of OR to EU; or
 - EU Affiliate to act as “Super-importer”
- UK **M/I**: Article 3(11) of REACH “ ... established within the Community ...”
 - DUs become importers unless redirect import to an EU importer (tonnage!); or
 - Nominates an EU OR
- UK will not act as leading authority at EU level
- The European Chemical Industry Council (CEFIC) has urged Brexit negotiators to secure a bilateral agreement between the EU and UK that allows continued British participation in the implementation of regulations administered by ECHA.
- CEFIC also supports the “grandfathering” of existing and approved registrations and authorisations; "minimising the regulatory barrier" for moving chemicals across Europe.

Steptoe



Let's start:



agergely@steptoe.com