

10th
ANNIVERSARY
CELEBRATION

FCPA BOOT CAMP



HOUSTON

January 26 - 27, 2016
Four Seasons Hotel, Houston, TX

Senior Executives Share their Risk Management and Compliance Strategies:

PW Power Systems
Marathon Oil
Primetals Technologies
Jabil Circuits
Noble Energy
Hess Corporation

Texas Instruments
Shell Oil Company
CBI Woodlands Texas
Cameron International
UPS
Luminex

Weatherford
Bristow Group
Archer Daniels Midland
Target
McDermott International
Layne Christensen Company

EnSCO
Exterran
Chevron
Huntington Ingalls Industries
Hercules Pharmaceuticals

Earn
CPE/CLE
Credits

FCPA Update from the Experts:

- Special DOJ Alumni Panel: What your company can learn from the biggest FCPA cases of 2015 and latest DOJ announcements
- Yates Memo – Compliance officer and C-suite risk alert: Analysis of executive criminal prosecution cases and how to lessen exposure to individual liability
- SEC tightening enforcement: In depth discussion of the SEC task-force and what it means for your internal controls and vendor/supplier side audits

Country-Specific Updates on:

- **Brazil:** One year into “Operation Car Wash” – How companies can determine potential exposure and do compliant business in Brazil
- **Iran:** How to avoid market-entry risk pitfalls, work with inhisarat’s (state monopolies) and perspectives on the current and future compliance landscape
- **Mexico & Colombia:** How to tap into lucrative government contracts and prevent bribery pitfalls
- **China:** How to balance increased business development pressures with high compliance risk

Special Focus on Innovative Compliance Strategies Amid Global Market Volatilities:

- How to leverage available resources and innovate to get the most value for money
- What keeps GCs and CCOs up at night? In-depth discussion about the evolution in compliance, employment, SEC filing and risk management

New Seminar - Africa Anti-Corruption Regulatory and Enforcement Update - January 25, 2016

- ✓ Contrasting Local Content Rules in Nigeria, Angola, Equatorial Guinea and Gabon
- ✓ Protecting Your Assets: How To Best Handle Security Related Issues And Hiring Of Police Escort Without Submitting To Extortion Payment Requests
- ✓ How to Perform a Thorough and Nuanced Risk Assessment in Africa
- ✓ Corporate Social Responsibility and Social Contributions Hypotheticals
- ✓ Regulatory Changes, Enforcement and Corruption Landscape Update in Nigeria, Angola, South Africa, Kenya, Ethiopia, and Tanzania ... and much more

Lead Sponsors:



Sponsored by:

KING & SPALDING

Steptoe
STEPTOE & JOHNSON LLP

BAKER BOTTS



THOMSON REUTERS

**MINTZ
GROUP**
Clarity in a complex world

Register Now | 888-224-2480 | AmericanConference.com/FCPAHouston

Bribery and corruption risk remains an enormous concern for businesses, and company executives either operating in the oil and gas sector or part of its supply-chain. As such, it is no surprise that according to the 2014 Global Enforcement Report: “the extractive industries continue to face the brunt of all investigations and enforcement actions” and have incurred some of the most significant penalties. Domestic and International headlines such as: “Oil executive pleads guilty in Colombian bribery case” or “Former PetroChina vice-chairman charged with bribery” remain commonplace.

Meanwhile, volatile commodity prices have increased the pressure and need for companies to sustain growth driving businesses to expand further into higher risk ventures and markets. As the risk profile of companies have changed, companies need to be mindful and re-evaluate the efficacy of their controls and compliance function in light of a rapidly changing and tightening global enforcement environment.

In its 10th successful year, the Houston FCPA boot-camp is an advanced program designed to provide practical insights on innovations in compliance, best risk mitigation strategies and update on the rapidly evolving global anti-corruption landscape. Join your peers for what is regarded as “the Premier Anti-Corruption Compliance Boot-Camp” and benefit from unparalleled networking and benchmarking opportunities. For the first time this year the program will introduce a one day seminar addressing the opportunities, risks and compliance challenges for companies operating in African markets as well as tracks on Iran and Latin America.

This highly rated FCPA Boot-Camp is designed to provide you with practical strategies and best practices for addressing global anti-corruption risks for your organization. Whether you are new to this area or need a comprehensive refresher, this program will improve your knowledge and provide practical skills that can be implemented as soon as you get back to your office.

ACI Boot-Camps are different – this program is advanced and rigorous - designed to provide up-to-date information through case studies, interactive sessions and benchmarking best practices.

Complete your conference experience by attending the post-conference working groups January 28th, 2016:

A Practical Guide to Evolving US Economic Sanctions Regime: What are the opportunities and challenges of loosening and tightening of sanctions?

B Practical Tips and Vetting Nuances for localizing and conducting a Tiered Risk-Based Third-Party Due Diligence in Latin America, Asia and Middle East

In these comprehensive and practical working sessions, you will benefit from enhanced Q&A, case studies, and the exchange of hands-on practices to help you build upon or fine-tune your anti-corruption compliance program.

This conference sells fast! Reserve your seat now and register for this exclusive event today by calling **888-224-2480** or register online at **AmericanConference.com/FCPAHouston**

Who Attends This Event Each Year?

- Corporate Counsel
 - International Trade Counsel
 - Import/Export Compliance
 - Trade & Regulatory Counsel
- Compliance & Ethics Officers
 - Directors, Import Export Compliance
 - Directors, Business Conduct
 - Forensic Accountants
 - Auditors
- Certified Fraud Examiners
 - Directors, Corporate Audits & Investigations
 - International Contract Managers
- Attorneys practicing in the following areas:
 - International Trade
 - Corporate Compliance
 - White Collar Crime
 - Internal Investigations
 - Anti-Money Laundering

Continuing Legal Education Credits



Accreditation will be sought in those jurisdictions requested by the registrants which have continuing education requirements. This course is identified as nontransitional for the purposes of CLE accreditation.

ACI certifies that the activity has been approved for CLE credit by the New York State Continuing Legal Education Board.

ACI certifies that this activity has been approved for CLE credit by the State Bar of California.

You are required to bring your state bar number to complete the appropriate state forms during the conference. CLE credits are processed in 4-8 weeks after a conference is held.

ACI has a dedicated team which processes requests for state approval. Please note that event accreditation varies by state and ACI will make every effort to process your request.

Questions about CLE credits for your state? Visit our online CLE Help Center at www.americanconference.com/CLE

Continuing Professional Education Credits



American Conference Institute (ACI) will apply for Continuing Professional Education credits for all conference attendees who request credit. There are no pre-requisites and advance preparation is not required to attend this conference.

Course objective: Update on Foreign Corrupt Practice Act and anti-corruption policies and procedures to prevent inappropriate payments and business conduct. Prerequisite: None. Level of knowledge: Beginner/Intermediate. Teaching Method: Group-Live. Advanced Preparation: None. Delivery method: Group Live.

Please refer to the information in this brochure for outline, course content and objectives. Final approval of a course for CPE credits belongs with each states' regulatory board. Recommended CPE Credit: 12.0 hours for the main conference and an additional 4.0 hours for workshop A, 1.5 for workshop B and 5.0 for the Seminar.

ACI is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN, 37219-2417 or by visiting the web site: www.learningmarket.org

To request credit, please check the appropriate box on the Registration form.

Here is What Your Peers Had to Say About Previous FCPA Houston Boot Camps:

“I always enjoy the caliber of speakers and participants at ACI Events.” – **Wood Group**

“Best FCPA conference I have attended.” – **Hunt Consolidated Inc**

“This program updates me on aspects that are directly related to my job.” – **Noble Energy**

“Great conference.” – **Intel Corporation**

Main Conference Day One - January 26, 2016

7:30 Registration and Continental Breakfast

8:30 Conference Co-Chair's Opening Remarks

Kathryn C. Atkinson
Partner
Miller & Chevalier Chartered (Washington, DC)

Sergio Leal
Chief Compliance Officer
Layne Christensen Company (Houston)

8:45 Keynote Address: Inside the Role of US DOJ's New Compliance Counsel

**Daniel Braun*
Deputy Chief, Policy & Strategy Unit, Fraud Section,
Criminal Division
U.S. Department of Justice (Washington, DC)

- What will be the role and scope of responsibilities of the new DOJ Compliance Counsel?
- Why did the DOJ deem this new role necessary for FCPA enforcement efforts?
- Will the DOJ become stricter and give more or less credit for companies' compliance program?
- Will the compliance counsel serve as a government expert witness?
- How much weight will the compliance counsel have in determining whether the DOJ will choose to prosecute and what is appropriate resolution for wrongdoing?

9:30 Alumni Prosecutors Panel: Lessons Learned from the Biggest FCPA Investigations of 2015, the Yates Memo and other US DoJ Announcements

Charles Duross
Partner
Morrison & Foerster LLP (Washington, DC)
Former Deputy Chief, FCPA Unit, Fraud Section
U.S. Department of Justice

John Buretta
Partner
Cravath, Swaine and Moore (New York)
Former Deputy Assistant Attorney General, Criminal Division,
U.S. Department of Justice

Zachary Harmon
Partner
King & Spalding (Washington, DC)
Former Special Assistant U.S. Attorney, U.S. Department of Justice

- How will recent revisions in the FCPA resource guide impact compliance programs?
- Thorough discussion of key 2015 FCPA cases – what lessons can be drawn from recent settlements, declinations and enforcement action?
- How are DOJ and SEC enforcement priorities evolving?
- How are compliance efforts being evaluated and rewarded?
- How is the DOJ coordinating with authorities of other governments and the rise of multi-jurisdictional investigations

10:30 Coffee Break

10:45 Maintaining an Effective Compliance Program amid Global Market Volatilities: How to Leverage Available Resources and Innovate to Get the Most Value for Money

James Scroggins
Compliance Counsel
PW Power Systems Inc. (Hartford)

Kevin McDonald
Deputy General Counsel — Governance, Compliance
& Corporate Services and Assistant Secretary
Marathon Oil (Houston)

Sara Murphy
Counsel- Investigation, Compliance & Policy
Chevron (San Francisco)

- What resources and structures are necessary in current enforcement landscape and how to allocate resources based on risk faced by your company including: geographic scope, business model, business sector and other factors
- Exploring cost-saving options including successful condensed compliance programs for small and medium-sized companies
- How to strengthen employee buy-in, engagement and training and how to ensure that your compliance training is effective?
- How to leverage technology platforms to inform decisions and manage risk
- How to promote integrated solutions to compliance: how to manage interplay of anti-corruption with AML, export controls and sanctions compliance requirements

11:45 Brazil Status Update – “Operation Car Wash” the Story Continues. From the Energy Sector to Public Contracts: How US companies Can Assess Risk Exposure and Continue to Do Business in Brazil

Giovanni Paolo Falcetta
Partner
Tozzini Freire (Brazil)

Mona Clayton
Partner, Forensic Services
PwC (Miami)

Thiago de Aragao
Strategy Director
Arko Advice (Brazil)
Former Institutional Relations Advisor – Office of the Brazilian Presidency

Natasha Trifun
Former Regional Director of Integrity and Compliance Latin America
Honeywell (Brazil)

- What are all the potential business impacts of Operation Car Wash including for multinational suppliers, class act suits, and investigation into employee pension funds, stock prices, debt with private banks and the Olympics 2016?
- How sanctions list impacts distributors and suppliers for the company? How new internal controls will affect the way you conduct business with State Owned Enterprises in Brazil
- How to identify newfound touch point risk areas and strengthen controls around risks with third parties in Brazil that might be associated with recent investigations
- What are the sectors that will be most severely impacted? Oil & Gas, Energy, Construction
- How Brazilian authorities are tackling the investigation versus US authorities. How much cooperation is there between investigations?

12:45 Networking Luncheon for Attendees and Speakers

2:00 Using Data for Effective Third Party Oversight and Monitoring: How to Leverage Data Analytics to Improve Third Party Monitoring

Justin Offen
Director - Forensic Technology
PwC (Atlanta)

Jason Richardson, CISA
Director, Analytics - Global Audit Services
Walmart (Atlanta)

- How to define the scope of data, key interests areas and tests needed for your third party monitoring
- How to use Big Data to monitor invoices and payments, distributors and sub-distributors, consulting, sales and marketing intermediaries brokers and agents
- How effective are data analytics as a tool?
- Best practices for mining and interpreting data during third party monitoring
- How transaction monitoring can help optimize due diligence efforts in third parties?
- Incorporating predictive coding and statistical modeling into your data management

BREAK-OUT SESSION A:

2:45

Track 1- The Yates Memo and C-Suite Risk Alert: Analysis of Recent Executive Criminal Prosecution Cases and How to Lessen Exposure to Individual Liability

Marsha Gerber
Partner
Norton Rose Fulbright LLP (Houston)

Kathryn C. Atkinson
Partner
Miller & Chevalier Chartered (Washington, DC)

- What are the individual liability risks to C-Suite and Compliance Officers for an FCPA violation?
- How will the DOJ published Yates memo impact individual prosecutions?
- How US DOJ and FBI international corruption unit work together to enforce individual accountability
- How should individuals cooperate with authorities to avoid prison-time?
- What internal controls can companies implement to lessen compliance officer exposure
- Steps that compliance officers and C-suite executives can take to avoid involvement in corrupt activities

Track 2- Latin America's Investment Opportunities: How to Tap into Lucrative Government Contracts in Mexico and Colombia and Prevent Bribery Pitfalls

Luis Ortiz
Partner
OCA Law (Mexico)

Patrick Henz
Regional Compliance Officer Americas
Primetals Technologies (Atlanta)

Kathalin Carvalho
Global Compliance Manager (Americas)
Jabil Circuits (Tampa)

Alberto Orozco- Panel Moderator
Director
PwC (Houston)

- How to identify pitfalls in the organization's public contracts, in order to ensure compliance with the Mexican and Colombian public contracts law and the organization's policies and procedures?
- How to detect public-private procurement situations that may trigger a request for a bribe or a facilitation payment
- How to monitor and control third parties who have significant face time with government officials for permits, licenses, and other governmental approvals ("government touch-points")
- Responding to and addressing competitor allegations of bribery in the context of the bidding process
- Discussing potential implications of construction and large infrastructure projects in Mexico and Colombia including: 4G project, pipelines that will serve Mexico's newly reformed energy sector, transportation

3:45

Refreshment Break

BREAK-OUT SESSION B:

4:00

Track 1- Unlocking Iran: Iran Experts Share Advice on How to Minimize Market-Entry Risk Pitfalls, Working with Inhisarat's (state monopolies) and Provide Perspectives on the Current and Future compliance landscape

Farhad Alavi
Managing Partner
Akrivis Law Group (Washington, DC)

Richard Nephew
Program Director- Center in Global Energy & Senior Fellow
Columbia University/ Brookings Institution (New York)
Former Deputy Coordinator for Sanctions, US State Department

Timothy Ward
President
Hercules Pharmaceuticals Inc (New York)

- What is Iran's current anti-corruption law and practice?
- How to identify and map relevant Iran-specific risks including working with State Monopolies
- How to get local data that is reliable
- What are the opportunities in the fastest growing sectors in Iran: Oil and Gas, Automotive, Infrastructure
- What are the political and economic impact of the Iran deal both within Iran and the rest of the region? What should companies be mindful of when considering market-entry?
- Practical challenges associated with the easing of sanctions: Scope of permitted and prohibited investment activities

Track 2- Hiring Practices – From Internships to Princelings: How Far Do You Go in What Constitutes a Bribe?

Claudius Sokenu
Partner
Shearman & Sterling LLP (New York)

John Sardar
Chief Compliance Officer
Noble Energy (Houston)

- How to handle newfound FCPA risks posed by your employee hiring?
- What recent government probes indicate about potential FCPA enforcement risks and what constitutes a conflict of interest, including JP Morgan investigation and BNY Mellon settlement
- How to include compliance language in the employee referral program and hiring application
- What to look for and ask at the employee recruitment and selection phases
- What to do if you detect an issue after hiring the individual

4:45

What is a Good Compliance Metric? Benchmarking Innovative and Effective Metrics for Global Anti-Corruption Compliance Programs

Charles Neff
Director of Compliance
Huntington Ingalls Industries, Inc. (Houston)

Jeffrey Proulx
Senior Counsel
Target (Minneapolis)

Joshua Wallenstein
Chief Compliance Officer & Assistant General Counsel
McDermott International, Inc. (Houston)

Thomas Best
Partner
Steptoe & Johnson LLP (Washington DC)

- Which metrics have produced the best measurement results for companies (e.g. analysis of internal audit findings, completion of annual and new hire compliance training, volumes of calls to hotlines)?
- How to ensure that your compliance metric is effectively monitoring trends and identifying future risks?
- How to tailor compliance metrics to different jurisdictions?
- What are applicable forward-looking metrics that effectively monitor operational concerns including whether employees are complying with company policies?
- How to ensure that compliance metrics remain relevant when a companies' risk profile changes due to growth or decline?

5:30 End of Day One

Main Conference Day Two January 27, 2016

8:30 Co-Chairs' Opening Remarks

8:35 **The Reality of a Complex Multi-Jurisdictional Investigation: How To Manage and Resolve the Top Three Issues in a Multi-Jurisdictional investigations (Scenarios):**

Doug Cohan

Deputy Compliance Officer, Investigations
Hess Corporation (Houston)

Matthew Herrington

Partner
Stephoe & Johnson LLP (Washington DC)

Lynn Neils

Partner
Baker Botts LLP (New York, NY)

At this interactive session, experts involved in some of the most complicated high profile cases will take the audience through three of the most complex scenarios in a multi-jurisdictional investigation. The purpose of the session will be to discuss how to resolve real life, high stakes dilemmas of managing concurrent enforcement actions. Scenarios include:

- How to manage regulators in multiple jurisdictions – how/when a company should cooperate and which agency to contact first
- How to manage stricter local Data Privacy Laws
- How to manage multiple or joint resolutions

9:30 **SEC Tightening Enforcement: In Depth Discussion of the SEC Task-Force and what it Means for your Internal Controls and Vendor/ Supply Side Audits**

Laura Biland

Director of WW Forensics & Americas Process Audits
Texas Instruments (Dallas)

Douglas Greenberg

Partner
Latham & Watkins LLP (Washington, DC)

Manny Alas - Panel Moderator
Partner and Global FCPA Leader
PwC (New York)

- New emerging challenges when managing documents and discussing complex issues with the SEC
- To what extent recent settlement reflect SEC expectations?
- Best practices for ensuring that internal accounting controls provide "reasonable assurances"
- Best practices for ensuring that books and records are maintained "in reasonable detail"
- Creating a viable process to audit vendor and supply books and records and ensure compliance

10:30 Networking Break

10:45 **Spending on What Matters: How to Keep Control of Internal Investigations Costs and Maximize Results**

Frederick Ratliff

Senior Counsel, Anti-Bribery and Corruption
Shell Oil Company (Houston)

Sergio Leal

Chief Compliance Officer
Layne Christensen Company (Houston)

Patrick Garcia

Senior Counsel
Cameron International (Houston)

Mark Miller

Partner
Baker Botts LLP (Washington, DC)

- Determining how much you need to spend and how to manage the risk of spiraling costs?
- How to coordinate the investigation between the company, outside counsel and accounting firms
- How to leverage technology
- When and when not to investigate new or peripheral red flags that are discovered over the course of the internal investigation
- Deciding whether you've investigated "enough": how to maximize credibility to the government

11:45 **How to Balance Increased Business Development Pressures with China's High Compliance Risks: How China's Economic Slowdown Will Have an Impact on your Anti-Corruption Initiatives**

Kelly Austin

Partner
Gibson Dunn & Crutcher LLP (Hong Kong)

Adam Briggs

Regulatory & Ethics Compliance Attorney
UPS (Atlanta)

- How to demonstrate that a strong compliance program can be a competitive advantage
- What are potential incentives for business people to control and manage bribery behavior if there are increased pressure and budget cuts?
- Using long-term profit damage metric to convince upper management to allocate resources to the compliance budget
- Communicating with business operations to understand the Chinese culture, business reality and behavior
- Engaging sales, marketing, procurement, operations, logistics to commit to the program

12:30 Lunch and Network

1:45 **"What Keeps You Up At Night?": Senior Executives Discuss the Evolution in Compliance, Employment, SEC Filing and Risk Management**

Richard Rew

General Counsel
Luminex (Dallas)

Natalia Shebadeh

Vice President & Chief Compliance Officer
Weatherford (Houston)

Rod Hardie

Associate General Counsel & Chief Compliance Officer
Exterran (Houston)

Brandt Leibe - Panel Moderator

Partner
King & Spalding (Houston)

- What are the biggest compliance challenges and liability risks for executives and how has it evolved?
- What do executives want to know from their compliance team to ensure effective implementation of the compliance program?
- How to balance increasing oversight responsibilities (e.g. employment, data protection) and internal dynamics and communication between compliance and other company departments
- How has tightening enforcement by the SEC affected SEC filings by executives?
- At what point and to what extent should executives involve the Board with an FCPA matter?

2:45 Closing the Deal: Key Anti-Corruption Considerations For Mergers and Acquisitions and Joint Ventures in the first 180 Days

David Searle

Chief Compliance Officer and Associate General Counsel
Bristow Group (Houston)

Emmanuel Ayuk

Senior Director, Global Compliance & Counsel
Archer Daniels Midland Company (Chicago)

Mara Senn

Partner
Arnold & Porter LLP (Washington, DC)

Interactive discussion: Facilitators will present an M&A and Joint Venture hypothetical and ask the audience to develop in groups an action plan addressing the challenge posed by the hypothetical. Audience will discuss proposed action plan and facilitators will present solutions. Hypotheticals include:

- Cross-Border Mergers and Acquisition
- Oversight of Majority Joint Ventures

3:45 Refreshment Break

4:00 Using Carrots instead of Sticks: What are Positive Methods to Incentivize Compliance?

Santiago Laverde

Compliance Counsel
CBI Woodlands Texas (Houston)

Emily Rosenberg

Compliance Counsel
Ensco (Houston)

- How to Implement a Compliance Champion Program?
- Should compliance objectives be included in performance review and linked to bonus?
- How to get employees to care: what are other compliance incentives beyond financial rewards?
- Hiring for compliance: how to ensure new hire staff are able to motivate employee compliance
- How to measure the effectiveness of your incentive program?

4:45 Main Conference Concludes

© American Conference Institute, 2015

Lead Sponsor:



PwC's Forensic Services team of experienced professionals is dedicated to meeting the challenges caused by fraud allegations, financial crimes and other irregularities. Our PwC Forensic Services portfolio of services includes: Financial Crime Examinations, Forensic Technology Solutions, Regulatory Compliance Reviews, Fraud Risk Management and Fraud Prevention, Dispute Analysis and Litigation Support. For more information visit www.pwc.com/us/forensics.

January 28, 2016 –

Interactive and Practical Working Groups

Workshop A - 9:00 to 12:30

(Registration Begins at 8:30)

Practical Guide To Fast-Evolving U.S. Economic Sanctions Regime: What Are The Opportunities And Challenges Of Loosening And Tightening Of Sanctions?

Erich Ferrari

Partner
Ferrari & Associates PC

**Ann Broeker*

ITC & Economic Sanctions
Compliance Leader
GE Capital

Staying current with the latest economic sanctions restrictions is critical for companies looking to expand and remain compliant. Understanding the rules is not enough as your companies' risk profile changes. This interactive workshop will share detailed information and a comparative analysis of US, EU, UN and Canadian sanctions on the fast-evolving sanctions restrictions. Speakers will lay-out a road-map of best practices for companies looking to do business in the following jurisdictions:

- Iran
- Cuba
- Venezuela
- Russia

Topics discussed will include:

- What are the varying scope and timing of US, EU and UN sanctions relief and how do they defer in each jurisdiction?
- How are US firms, their foreign subsidiaries and a non-US firm affected?
- If companies are interested in conducting business in these jurisdictions, what are policies and controls that companies should update in light of potential changes?
- How to effectively communicate the opportunities and limitations of conducting business in a partially lifted sanctions regime to your management and business units
- Practical challenges associated with easing of sanctions: scope of permitted and prohibited investment activities

Workshop B - 1:30 to 3:00

(Registration Begins at 1:00)

Practical Guide to Localizing and Managing a Tiered Risk-Based Third-Party Due Diligence Program in Latin America, Asia and Middle East

Julia Symon

Vice President –
Chief Compliance Officer
KBR (Arlington)

Jay G. Martin

Vice President, Chief
Compliance Officer and Senior
Deputy General Counsel
Baker Hughes (Houston)

Failure to uncover corruption problems with business partners can lead to disastrous outcomes once the relationship is cemented. Posing a myriad of challenges for companies doing business in Asia, Latin America and the Middle East, it is imperative for companies to minimize their risk exposure and to tailor their strategy to different jurisdictions. During this engaging and hands-on interactive working group, attendees will be provided with concrete tools and tactics for ensuring third-party due diligence compliance in diverse environments. Attendees will receive nuts-and-bolts guidance on how to create a cost-effective and credible risk based third party vetting program with minimal administrative and financial burden. Learn about risk factors, weighting and legally obtainable information that will ensure consistency throughout the enterprise. Take advantage of this unique opportunity to have your specific questions answered and to find out how other companies have addressed similar challenges such as:

- How to customize due diligence based on geography, the level of risk and interaction with government officials?
- How to conduct a thorough interview?
- How to obtain the cooperation of your vendors, what to ask? What is important?
- How far do you screen and how deep do you need to dig?
- Understanding the local business environment, local law and business customs
- Best use of background and reputation checks

**Invited at time of print*

REGISTRATION INFORMATION: FCPA BOOT CAMP HOUSTON

CONFERENCE CODE: 855L16-HOU

FEE PER DELEGATE	Register & Pay by Dec 4, 2015	Register after Dec 4, 2015
<input type="checkbox"/> FCPA Boot Camp Only	\$2095	\$2295
<input type="checkbox"/> Boot Camp & Workshop <input type="checkbox"/> A or <input type="checkbox"/> B	\$2695	\$2895
<input type="checkbox"/> ELITEPASS*: Boot Camp & Both Workshops	\$3295	\$3495
<input type="checkbox"/> Full Day Africa Seminar	\$1195	\$1295
<input type="checkbox"/> Please reserve _____ additional copies of the Conference Material at \$199 per copy.		

☐ I would like to receive CPE accreditation for the following states: _____ See CPE details inside.

☐ I would like to receive CLE accreditation for the following states: _____ See CLE details inside.

**ELITEPASS is recommended for maximum learning and networking value.*

ACH Payment (\$USD)

Please quote the name of the attendee(s) and the event code 855L16 as a reference.

For US registrants:

Bank Name: HSBC USA

Address: 800 6th Avenue, New York, NY 10001

Account Name: American Conference Institute

UPIC Routing and Transit Number: 021-05205-3

UPIC Account Number: 74952405

Non-US residents please contact Customer Service for Wire Payment information

For Payment information and Cancellation Policy, please visit our website www.FCPAconference.com/Houston

Venue Information

American Conference Institute is pleased to offer our delegates a limited number of hotel rooms at a preferential rate. Please contact the hotel directly and mention the "ACI's FCPA Boot Camp" or use the reservation link provided below to receive this rate.

Venue: Four Seasons Hotel Houston

Address: 1300 Lamar Street, Houston, TX 77010

Reservations: (800) 734-4114

Online Reservations: tinyurl.com/BootCampHouston

Incorrect Mailing Information

If you would like us to change any of your details please fax the label on this brochure to our Database Administrator at 1-877-927-1563, or email data@AmericanConference.com.

5 Easy Ways to Register



PHONE 888-224-2480



ONLINE
www.FCPAconference.com/Houston



EMAIL
CustomerService@AmericanConference.com



FAX 877-927-1563



MAIL
American Conference Institute
45 West 25th Street, 11th Floor
New York, NY 10010

Missed A Conference – Order The Conference Materials Now!

If you missed the chance to attend an ACI event, you can still benefit from the conference presentation materials. To order the Conference Materials, please call +1-888-224-2480 or visit: http://www.americanconference.com/conference_papers

Special Discount

We offer special pricing for groups and government employees. Please email or call for details.

Promotional discounts may not be combined. ACI offers financial scholarships for government employees, judges, law students, non-profit entities and others. For more information, please email or call customer service.

Global Sponsorship Opportunities

With more than 300 conferences in the United States, Europe, Asia Pacific, and Latin America, American Conference Institute (ACI) provides a diverse portfolio devoted to providing business intelligence to senior decision makers who need to respond to challenges spanning various industries in the US and around the world.

For more information about this program or our global portfolio of events, please contact:

Wendy Tyler, Director of Sales, American Conference Institute

Tel: 212-352-3220 x5242 | w.tyler@AmericanConference.com

Association and Media Partners:



NEW THIS YEAR



AFRICA ANTI-CORRUPTION REGULATORY & ENFORCEMENT UPDATE

January 25, 2016 | Four Seasons Hotel | Houston, TX |

Earn
CPE/CLE
Credits

American Conference Institute
45 West 25th Street, 11th Floor
New York, NY 10010

ATTENTION MAILROOM: If undeliverable to addressee, please forward to:

International Counsel, Ethics and Compliance Officer, Anti-Corruption Director

PRIORITY SERVICE CODE

855L16.WEB

Four Seasons Hotel - Houston, TX

January 25, 2016

AFRICA ANTI-CORRUPTION REGULATORY & ENFORCEMENT UPDATE

- ✓ Contrasting Local Content Rules: in Nigeria, Angola, Equatorial Guinea and Gabon
- ✓ Protecting Your Assets: How To Best Handle Security Related Issues And Hiring Of Police Escort Without Submitting To Extortion Payment Requests
- ✓ How to Perform a Thorough and Nuanced Risk Assessment in Africa
- ✓ Corporate Social Responsibility and Social Contributions Hypotheticals
- ✓ Regulatory Changes, Enforcement and Corruption Landscape update in Nigeria, Angola, South Africa, Kenya, Ethiopia, and Tanzania
- ... and much more

10th
ANNIVERSARY
CELEBRATION

January 26-27, 2016

FCPA BOOT CAMP

HOUSTON

FCPA Update from the Experts:

- **Special DOJ Alumni Panel:** What can your company learn from the biggest FCPA cases of 2015 and latest DOJ announcement?
- **Yates Memo** – Compliance Officer and C-Suite Risk Alert – Analysis of Executive Criminal Prosecution Cases and How to lessen exposure to Individual liability
- **SEC Tightening Enforcement:** In depth discussion of the SEC task-force and what does it mean for your internal controls and Vendor/ Supply Side Audits?

Country-Specific Updates on:

- **Brazil, Iran, Mexico, Colombia & China**

Special Focus on Innovative Compliance Strategies Amid Global Market Volatilities.



AFRICA ANTI-CORRUPTION REGULATORY & ENFORCEMENT UPDATE

Earn
CPE/CLE
Credits

January 25, 2016 | Four Seasons Hotel | Houston, TX

ACI is proud to introduce this inaugural seminar in response to the anti-corruption community's high interest in Africa. Benefit from this unique one-day seminar that focuses on the most critical anti-corruption regulatory, enforcement and compliance updates from around Africa. Specific concentration will focus on addressing the opportunities, risks and compliance challenges for companies interested in entering or are currently operating in African markets.

Monday, January 25, 2016

7:30 Continental Breakfast and Registration Begins

8:30 Co-Chairs Opening Remarks

Reagan Demas

Partner

Baker McKenzie LLP (Washington, DC)

Michol Ecklund

Deputy General Counsel – Commercial & Ops Services

Marathon Oil Company (Houston)

8:45 **Spotlight on Nigeria – Post-Election Status Update on Nigeria's Anti-Corruption Enforcement Initiatives, Reinvigoration of the Economic and Financial Crimes Commission (EFCC) and US-Nigerian Cooperation**

Mohammed Dele Belgore

Partner

Sofunde Osakwe Ogundipe & Belgore (Nigeria)

Claudius Sokenu

Partner

Shearman & Sterling LLP (New York)

- Taking Stock of Nigeria's new anti-corruption appointment and the reinvigoration of the Economic and Financial Crimes Commission (EFCC) and what are their implications for companies operating in Nigeria?
- How EFCC is expected to increase local enforcement activities? What are new developments?
- How President Buhari's appeal to President Obama for help will affect US- Nigerian anti-corruption cooperation
- Are enforcement authorities expected to increase collaboration in investigations and information sharing?

9:45 **Contrasting Local Content Rules in Nigeria, Angola, Equatorial Guinea and Gabon: Market Nuances, Traps and Increased Risk of Corruption in Lucrative African Markets**

Mohammed Dele Belgore

Partner

Sofunde Osakwe Ogundipe & Belgore (Nigeria)

Michol Ecklund

Deputy General Counsel – Commercial & Ops Services

Marathon Oil Company (Houston)

- What are the unique rules and considerations when conducting business in Nigeria, Angola, Equatorial Guinea and Gabon?
- How to conduct risk-based due diligence to determine government ties to a local content provider
- How to mitigate risks of doing business with a "shell" company
- What are the joint venture requirements and how to monitor the joint venture relationship?

10:45 **Networking Break**

11:00 **Protecting Your Assets: How to Best Handle Security Related Issues and Hiring of Police Escort Without Submitting to Extortion Payment Requests**

Inam Wilson

Partner

Templars (Nigeria)

Emmanuel Ayuk

Senior Director, Global Compliance & Counsel

Archer Daniels Midland Company (Chicago)

Ron Coker

General Counsel

ProEnergy (Houston)

- What are key security issues that companies need to take into consideration when conducting business in some countries in Africa?
- Is hiring police escort to protect your assets a necessity of doing business in the continent?
- How to address requests for extortion payments relating to basic police protection or public services
- How to prepare management for potential delays
- How to identify and avoid common cross-border bribery risks such as overlooking motor vehicle infractions, expediting issuance of licenses and permits at the border

11:45 Investing in Angola & Ethiopia – Filling the Infrastructure Deficit and Taking Advantage of Lucrative Government Contracts and a Rising Consumer Market Without Falling into a “Bribery Trap”

Ricardo Alves Silva

Partner

Miranda Alliance (Portugal)

- What does the corruption landscape look like in Angola & Ethiopia?
- Discussing potential implications of large investments in energy and infrastructure development in Angola and Ethiopia
- How to identify the pitfalls in the organization’s public contracts, in order to ensure compliance with the Angolan 2010 public procurement law and lessen risks of corruption
- How to manage “forced marriages” and work with joint-venture partners that don’t share your anti-corruption commitment or that potentially have government ties?
- Responding to and addressing competitor allegations of bribery in the context of the bidding process

12:30 Lunch

1:45 How to Perform a Thorough and Nuanced Risk Assessment in Africa: How to Tailor Your Risk Assessment to Identify Country-Specific Corruption Risks

Emmanuel Ayuk

Senior Director, Global Compliance & Counsel
Archer Daniels Midland Company (Chicago)

Enoch Aboagye

General Counsel

Ghana National Gas Company (Ghana)

How to identify and map relevant Africa-specific risks

- How to get data that is reliable
- How to create and maintain a tailored compliance risk assessment tool adapted to your industry and risk factors
- Evaluating quantitative and qualitative risks and creating appropriate audit trails
- How to avoid common pitfalls while conducting a risk assessment

2:45 Corporate Social Responsibility and Social Contributions Hypotheticals: How to Resolve Practical Scenarios and Comply with Anti-Corruption Procedures and Not be Caught in “Grey Areas”

Sharie Brown

Partner

Troutman Sanders LLP (Washington DC)

- How to ensure that CSR and philanthropy programs comply with anti-corruption procedures and are not caught in a grey area?
- How to respond to requests for donations from customers and suppliers?
- How to deal with corruption in small local communities and low-level bureaucrats

- How to ensure that community payments comply with anti-corruption regulations?
- How to resolve anti-corruption compliance challenges in local community projects?

3:30 Network Break

3:45 Case Study in Customs, Supply Chain and Logistics Risks: How to Identify Red Flags When Working With Agents and Brokers in Nigeria and Kenya

Inam Wilson

Partner

Templars (Nigeria)

Reagan Demas

Partner

Baker & McKenzie LLP (Washington, DC)

- How to detect corrupt behavior and set up internal controls and red flags throughout the custom clearance and supply chain
- Practical tips on how to prevent and respond to requests for bribes and facilitation payment for licenses and customs paperwork
- How to prepare management for potential delays and work with officials to expedite permits and clearances without paying bribes
- When to engage legal department and how to provide assistance in internal investigations and self-disclosure
- How to train operational employees on anti-corruption compliance to address local risks in the customs and supply chain context

4:30 The Corruption Landscape in South Africa, Mozambique and Tanzania – Recent Developments that Will Affect Your Risk and Compliance Initiatives

Reagan Demas

Partner

Baker & McKenzie LLP (Washington DC)

- What is the corruption landscape in South Africa, Mozambique and Tanzania?
- What are key agencies, their roles and jurisdictions? How effective are anti-corruption laws? What are expected changes and developments?
- What are lessons from recent Anti-Corruption investigations in the region?
- What are key industries that are especially susceptible to increased scrutiny in these jurisdictions?
- Which country presents the most risk and why? How do local regulations compare with international laws and what are the implications for companies that must comply with multiple jurisdictions?

5:15 Co-Chairs Closing Remarks and Seminar Ends

Seminar Code: 855L16-WSP

FEE PER DELEGATE	Register & Pay by Dec 4, 2015	Register after Dec 4, 2015
<input type="checkbox"/> Africa Anti-Corruption Update	\$1195	\$1295
For registration details, please see page 8 of Houston FCPA Boot Camp brochure or go to www.AmericanConference.com/Africa .		