



ACI
American Conference Institute
Business Information in a Global Context

This year marks 30 years since the inception of C5 Group. It is time to match our brand with the dynamic strides we have made. See inside for details...

New

Executive Roundtable on Compliance Programs
July 20, 2016

July 18-20, 2016 | Mandarin Oriental Hotel | Washington, DC

7th Global Forum on

ANTI-CORRUPTION COMPLIANCE

in High Risk Markets

Practical, Country-Specific Strategies to Detect, Investigate and Mitigate Bribery and Enforcement Risks



EARN CLE/CPE CREDITS

Hear Best Practices from Compliance Leaders of Top Global Corporations:

Coca-Cola	BAE Systems	Raytheon
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Texas Instruments	Wells Fargo	Hilton Worldwide
	Dell	U.S. Navy

Keynote Address:



Stephen Zimmermann
Director of Operations
Integrity Vice Presidency
World Bank Group

Co-Chairs for this Year's Conference



Roberto Berry
Assistant General Counsel
International Affairs and Compliance
Fiat Chrysler Automobiles US



Joseph P. Moan
Chief Ethics & Compliance Officer
and Associate General Counsel
The Coca-Cola Company

Get the Latest Insights on:

- ✓ The "Panama Papers" and Beneficial Ownership – Learn How to Identify the True Owners of your Business Partners
- ✓ When Third Party Risks Warrant "Walking Away" From a Deal or Joint Venture
- ✓ Pitfalls to Avoid When Conducting Internal Investigations in High Risk Markets
- ✓ Lessons to Draw from Recent Sports and Sponsorship Corruption Investigations
- ✓ How to Approach Localizing Your Global Compliance Program
- ✓ The Faces of Corruption: Detecting Bribery Schemes, High Risk Structures, Hidden Parties and other Questionable Transactions

Spotlight Panels on Individual High Risk Markets

China: How to adapt your compliance strategy to tightening controls and policies that meet Chinese regulators' expectations

Brazil Post "Operation Car Wash": What compliance officers should do now to protect their company's interests?

Indonesia: How to integrate local risk scenarios into your global corporate compliance program

Mexico: Interpreting the new constitutional law and soon-to-be-enacted secondary legislation on anti-corruption

Africa: Avoiding CSR, concessions and offset requirements pitfalls

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a C5 Group Company
Business Information in a Global Context

Over 300,000 officials penalized in China, 2.6 terabytes of sensitive documents leaked in Panama, an unprecedented pay-off scheme implicating ever more public officials and industry bosses in Brazil... the list goes on... and the story it tells is simple and always the same: Corruption and fraud permeate all layers of politics, economics and society in countries rich and poor alike. Yet mitigating the resulting damage represents a particular challenge in high risk markets.

ACI's 7th Global Forum on Anti-Corruption Compliance in High Risk Markets is thus as timely and pertinent as ever

High risk markets – today's most lucrative investment and business environments – often display weak institutional and regulatory mechanisms. Local compliance enforcement is an irregular and nebulous process, ranging from non-existent prosecution to extremely harsh penalties. Your company can easily find itself under **scrupulous government investigation**, slapped with a \$ **multi-million fine**, have its **executives jailed**, or **barred from conducting business** altogether. Add to this the **enhanced and tighter cross-border coordination** between the primary anti-corruption compliance enforcers of the world and high risk market governments, and the compliance challenges for global players in high risk market seem unprecedented.

In light of this, ACI's 2016 conference offers an equally unparalleled opportunity to **gain practical expert insight to counteract** these challenges via two separate panel fora:

1. The **Main Conference (July 18-19)** will delve deeply into, explore and interpret today's most pressing anti-corruption compliance challenges, with top-notch practical sessions led by expert stakeholders on: **The "Panama Papers", Third-Party Risk, Localizing Global Compliance Programs, Recent FCPA & UK Bribery Act Enforcement Actions, CSR, Concessions and Offset Requirements Pitfalls**, among others.
2. Our **Exclusive Executive Roundtable on Compliance Programs (July 20)** constitutes a special add-on to this year's program. Unlike other conferences, this unique feature offers the opportunity to participate in boardroom-style deliberations on the DOJ Compliance Counsel's most recent comments and recommendations regarding **Best Practices to Nurture a Robust Anti-Corruption Culture Within Your Organization**.

Each panel will be framed in a way to **maximize audience participation** – be it via **interactive discussion, special audience participation, "crash course" updates**, etc. – in order to equip delegates and attendees with **the most practical advice that is immediately implementable upon resuming their day-to-day compliance functions**.

REGISTER for this conference that provides an unparalleled opportunity to **benchmark what it takes in today's fragile, risk-prone global economic environment to run a successful business in high risk markets that is backed by a strong compliance program**.

This conference sells fast!

The inaugural Executive Roundtable is expected to fill to capacity. Book your seat early and take advantage of the early bird pricing and group discounts.

A MUST-ATTEND EVENT FOR:

- Chief Compliance Officers
- Vice Presidents, Directors and Managers:
 - > Ethics and Global Compliance
 - > Business Conduct and Investigations
 - > Internal and Corporate Audit
 - > Corporate Responsibility
 - > Regulatory and Government Affairs
 - > Data Privacy
- General Counsel
- Compliance and Litigation Counsel
- Senior Compliance Officers
- Ethics Officers
- Controllers
- International Contract Managers
- Outside Counsel specializing in:
 - > Corporate Compliance
 - > White Collar crime
 - > Dispute resolution
 - > Internal Investigations
 - > M&As
- Forensic Auditors
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Exclusive Room Rates!

American Conference Institute is pleased to offer our delegates a limited number of hotel rooms at a preferential rate. Please contact the hotel directly and mention the "ACI's High Risk Markets" conference to receive this rate.



ACI
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Business Information in a Global Context

This year marks 30 years since the inception of C5 Group.

- 30 years hosting more than 6,000 conferences
- 30 years building a network of industry leaders
- 30 years expanding across the globe

It is time for a brand, logo and language in keeping with the dynamic strides we have made as a company. It is time for a brand that will take us forward for the next 30 years.

C5 Group, comprising American Conference Institute, The Canadian Institute and C5 in Europe, will unite under one central brand image, appropriately a globe. See how bringing together the power of people and the power of information can accelerate your growth and success.

Our new brand look and language will be fully revealed soon. Stay tuned for more exciting changes.

Join the Conversation  @ACI_AntiCorrupt #ACIFCPA

 ACI: Anti-Corruption / FCPA - Legal, Regulatory and Compliance Professionals

Main Conference Day 1 July 18, 2016

8:00

Registration Begins & Continental Breakfast

8:45

Conference Co-Chairs Opening Remarks

Joseph P. Moan

Chief Ethics & Compliance Officer and
Associate General Counsel
The Coca-Cola Company (Atlanta, GA)

Roberto Berry

Assistant General Counsel International
Affairs and Compliance
Fiat Chrysler Automobiles US
(Bloomfield Hills, MI)

9:00

Beneficial Ownership Post “Panama Papers”: A Practical and Timely Discussion on How to Identify the True Owner of Your Counterparties and Business Partners

Gerard Ryle

Director
International Consortium of Investigative
Journalists (ICIJ) (Washington, DC)

Shruti Shah

Vice President of Programs and Operations
Transparency International-USA
(Washington, DC)

Jonathan E. Lopez

Partner, Orrick, Herrington & Sutcliffe LLP
(Washington, DC)

- Understanding the significance of the Panama Papers: What have they brought to light and why is it important?
- What is the role and purpose of beneficial ownership? How do you manage this type of relationship?
- How to approach and overcome the challenge of identifying actual ownership of your business partners
- How to conduct indispensable due diligence on your counterparties: How to mitigate potential legal pitfalls
- The Department of Treasury’s Customer Due Diligence/Beneficial Ownership Notice of Proposed Rulemaking (CDD-NPRM): The anticipated impact of the new beneficial ownership rule, who it applies to and what it means for shell companies in the United States

10:00

Spotlight on China: “300,000 and Counting...” – How the Chinese Government’s Anti-Corruption Crackdown on Local Officials and Multinationals Affects your Compliance Program

Sheana Chen

North Asia Legal Director
Texas Instruments (China)

Xiaolin Zhou

Partner, Jun He (New York, NY)

Melody X. Wang

Partner, Fangda Partners (China)

Paul Tan (Panel Moderator)

Forensic Services
PwC (Shanghai)

- How to adapt your global compliance strategy to tightening controls and policies that meet the Chinese government’s expectations: What compliance program standards are expected?
- How to navigate China’s highly competitive business environment around project bidding and public procurement
- How to prepare for unexpected investigation requests from the Chinese regulatory and enforcement authorities
- What to do once under investigation by Chinese authorities? Practical tips on cooperation and risk mitigation
- Teaming up with Chinese businesses: How to identify and act on potential pitfalls

11:00

Refreshment Break

11:15

When Third Party Risks Warrant “Walking Away”: Red Flag Scenarios and Interactive Discussion on Agents, Distributors and Intermediaries in China and Mexico – What Would You Do If...

Patricia Byrne

Vice President & Associate General Counsel
International Compliance, BAE Systems Inc.
(Washington, DC)

Cara M. Griffin

Director,
Global Commercial Compliance & Counsel
Guardian Industries Corp. (Detroit, MI)

Ronald Cheng

Partner, O’Melveny & Myers LLP
(Hong Kong and Los Angeles, CA)

This exclusive and practical session will feature four complex third party risk management scenarios:

- What if a vendor, such as a lawyer or government relations consultant, seeks to become a distributor for your company?
- What if your company should discover vendor misconduct? When should the lawyer turn to law enforcement or seek criminal prosecution in the home country?
- In negotiations, what action should your company take or at least consider when the vendor demands as a term a success fee?
- In seeking a vendor such as a lawyer or government relations consultant, what should your company ask when the vendor claims to have a special relationship with the host government or a key agency of the government?

After a review of each scenario and the specific challenges involved, the expert speakers will present a step-by-step review of strategies to address each situation. Don’t miss the chance to test your business acumen and benchmark with your peers during this insightful and interactive panel.

12:15

How to Respond to Complex Repercussions from Brazil’s “Operation Car Wash”: What Can and Should Compliance Officers Do Now to Protect Their Company’s Interests

Salim Saud Neto

Partner, Saud Advogados (in cooperation with Hughes Hubbard & Reed, LLP)
(Brazil)

Rogério Teixeira dos Santos

Corporate Integrity | Compliance
Vale S.A. (Brazil)

Leonardo Lopes (Panel Moderator)

Partner, Forensic Services Practice
PwC (Brazil)

- Update on the most recent developments in “Operation Car Wash”
- What changes has the scandal brought to Brazil’s legal and enforcement landscape?
- How “Operation Car Wash” is driving the market to adopt enhanced compliance requirements
- How your company can react to and work with the new on-the-ground realities to maintain a compliant anti-corruption policy
- What if your company is involved in “Operation Car Wash” (or finds itself entangled in similar circumstances)? How to prepare in advance to preempt negative impact

1:00

Networking Luncheon for Attendees and Speakers

2:15

Pitfalls to Avoid When Conducting Internal Investigations: A Special Focus on Developing an Effective Investigative Plan

Jeff Benjamin

Senior Vice President, General Counsel,
Ethics & Compliance Officer
Avon Products (New York, NY)

John S. (Jay) Darden

Partner, Paul Hastings LLP (Washington, DC)

David W. Brown

Partner, Paul, Weiss, Rifkind, Wharton
& Garrison LLP (New York, NY)

- How to deal with document holds and potentially relevant information: Instant messaging services, cell phone records, and texts
- How to manage the review of overseas information: Data privacy and blocking statutes
- Corporate documents in possession of third-parties: How to assess the importance of bank records in corruption investigations
- How to handle documents available under contract: Whether and when to exercise third-party audit rights
- Preservation of corporate attorney-client privilege: Who should conduct preliminary interviews, when to bring in outside counsel, and how to communicate with corporate boards

- What conclusions to draw? Written report vs. oral presentation

3:15

Focus on Large Events, Sports and Sponsorship Risks: What Recent Headlines Reveal About Corporate Risk and the Need for Effective Internal Controls

Joseph P. Moan

Chief Ethics & Compliance Officer and Associate General Counsel
The Coca-Cola Company (Atlanta, GA)

Brian C. Baldrate

Vice President, Legal – International & Washington Operations, General Counsel
Raytheon International Inc.
(Washington, DC)

Joel Cohen

Partner, Gibson, Dunn & Crutcher LLP
(Washington, DC)

- How to draw practical lessons via close examinations of recent global sports scandals (FIFA World Cups, 2016 Summer Olympics in Rio de Janeiro, 2022 Winter Olympics in Beijing, etc.)
- What it means to be a sponsor, donator, or public procurer: How to identify inherent risks
- How to respond to sponsorship and donation solicitations from customers and suppliers?
- How to prevent the use of bribes as a means to gain media, marketing, and sponsorship of sporting and entertainment events
- How to identify concealed bribery in consulting contracts, the use of shell companies, marketing funds expensing, etc.
- How sponsoring entities can set up an effective internal control mechanism that mitigates corruption risk
- How to prepare for collateral damage and contingent liability associated with crisis management
- The “Panama Papers”: What are the consequences for the sports world?

4:00

Refreshment Break

4:15

The Keys to Post-JCPOA Iran: Managing Anti-Bribery Risks and Maintaining Internal Controls for Non-US Subsidiaries without Violating US Sanctions

Farhad Alavi

Managing Partner, Akrivis Law Group PLLC
(Washington, DC)

Jim Slear

Partner, Thompson Coburn LLP
(Washington, DC)

- Iran’s current anti-corruption legal landscape and practice: How to identify and map relevant Iran-specific risks

- Understanding changes in Iranian sanctions as a result of JCPOA implementation: How sanctions impact US companies whose foreign subsidiaries engage in Iranian business under General License H (the independence requirement), and how this affects corporate exposure to corruption risks
- The challenges of maintaining adequate internal controls and managing third party risks under the independence requirement
- How the *inhisarat* (state monopolies) and state companies masquerading as private ones increase FCPA, sanctions, and anti-corruption compliance risks
- Case examples: practical lessons from Total S.A., Statoil, Weatherford and Iraq’s Oil for Food Program
- What are the opportunities in the fastest growing sectors of Iran’s economy: oil and gas, automotive and infrastructure
- How to obtain reliable local data

5:00

Localizing Your Compliance Program: Proven Strategies When Tailoring your Corporate Structure, Controls and Policies to High Risk Markets

Thomas Stimson

Managing Director, Ethics & Compliance
The AES Corporation (Washington, DC)

Patricia Punder Kuniyoshi

Associate Director, Growth Markets Region
Compliance, Teva Pharmaceuticals (Brazil)

Vanessa Salinas Beckstrom
(Panel Moderator)

Forensic Services Director
PwC (Dallas, TX)

- There exists no one-size-fits-all formula: How to ensure your global compliance program is tailored to the realities on the ground
- Who is in charge? How to overcome the challenge of balancing remote (HQ) vs. on-site (local) compliance enforcement resources?
- How best to manage the relationship between your Chief Compliance Officer and Regional Compliance Counsels? Who do they report to and how does this impact the effectiveness of your compliance program?
- The importance of dedicating sufficient resources to the compliance function and assuring its independence, as well as identifying the adequate amount of training
- How to create appropriate incentives for your compliance personnel, such as compensation and promotion
- How to adapt your compliance program depending on your type of business and industry
- How to conduct an effective risk assessment of your compliance program and correctly interpret the findings
- Q&A: You are the new compliance chief – now what?

5:45

Conference Adjourns

Main Conference Day 2 July 19, 2016

7:30 – 8:30

Early Riser Session: Inside the Latest FCPA and UK Bribery Act Enforcement Actions – A Perspective on Recent DOJ, SEC and SFO Case Handlings and Resolutions, and How Learnings Will Trickle Down to the Compliance Level

Martin J. Weinstein

Partner, Willkie Farr & Gallagher LLP
(Washington, DC)

Judith Seddon

Partner, Clifford Chance LLP
(United Kingdom)

- Analysis of recent FCPA and UKBA enforcement actions: How are these cases played out and what practical learnings should be drawn? (Qualcomm, Olympus, Novartis)
- What is the significance of the first DPA in the UK (November 2015) and the first UK prosecution of a company for the corporate offense of failing to prevent bribery under the UKBA? How does this affect the meaning of cooperation with the UK’s Serious Fraud Office?
- Case examples: Why it is important to understand the differing application of DPAs in the US vs. UK
- What is the meaning of attorney-client privilege in the context of DPAs?
- What to make of the new DOJ guidelines on its one-year Pilot Program for Corporate Self-Reporting and Cooperation: Implications for your compliance department and how to manage cooperation with regulators

8:40

Conference Co-Chairs Remarks

8:45

The Modus Operandi of Corruption: Detecting Bribery Schemes, High Risk Structures, Hidden Parties and other Questionable Transactions

Michael Sevi

Senior Compliance Officer
Marsh & McLennan Companies
(New York, NY)

Andrew S. Boutros

Partner, Seyfarth Shaw LLP (Chicago, IL)

Jason Jones (Panel Moderator)

Partner, King & Spalding LLP
(Washington, DC)

- What are the main red flags for transactions used to channel bribes and the various schemes employed to evade internal controls?
- How to manage conflicts of interest, collusive deals undertaken by corrupt employees, and classic signs of corrupt behavior
- How bribery schemes are becoming increasingly sophisticated and how you can detect them

- How bribery schemes differ among high risk markets and among specific industries
- The convergence of corruption, tax evasion and money-laundering, and how to avoid becoming entangled in these activities
- How to be proactive with appropriate controls to avoid involvement in other illegal activities associated with corruption
- The Panama Papers: How to minimize the risks associated with offshore distribution structures

9:45

Handling CSR, Concessions and Offset Requirements in Africa: A Practical Debate on How to Minimize Risks

William K. Lietzau

Vice President, Governance and Institutional Development
PAE (Washington, DC)

Morgan Keay

CEO/Founder, Motive International, LLC (Washington, DC) (formerly with U.S. Department of State – AFRICOM)

Reagan Demas

Partner, Baker & McKenzie LLP (Washington, DC)

- How to minimize CSR pitfalls, mitigate reputational risks, and set up appropriate control and monitoring mechanisms such as: manner, mode and timeline of payment, confirmation of recipients and beneficiaries, accountability and auditing controls
- How to resolve the tension between maintaining an effective CSR program vs. “misusing” CSR as a competitive advantage vis-à-vis industry competitors
- Beyond charity: CSR models that solve problems and foster empowerment, while avoiding dependency and patronage-creation
- How to cultivate strong local supply chains and transparent local sourcing: Micro-investing, supplier competition vs. cooperation, and corporate governance as a tool for anti-corruption
- How to learn from and leverage local power structures as an antidote to corruption
- Making friends with the enemy? Oil theft, corruption, and the case for legalized “bunkering” in the Niger Delta
- The ethical concession? “Paying” bribes with political currency instead of monetary currency: “Formal” vs. “informal” offset requirements and inherent corruption risks
- What role does *quid pro quo* play in the context of social contributions and concessions?

10:30

Refreshment Break

10:45

How to Engage your Global Sales, Business Development and Marketing Teams in your Compliance Initiatives

Anton Alferov

Regional Compliance Counsel (Russia and Caspian, APAC) Halliburton (Russia)

Beatriz Podcameni

Board Member

Compliance Commission of the Brazilian Bar Association – Rio de Janeiro Chapter (Brazil)

Laura Stover Danysh

Vice President & Senior Counsel, Global Compliance & Ethics
Hilton Worldwide (Washington, DC)

Jeremy I. Levin (Panel Moderator)

Partner, Baker Botts LLP (Washington, DC)

- The carrot or the stick or both: What practical strategies should you apply to ensure true “buy-in” from the business side regarding compliance?
- How to use trainings, program testing, and even investigations to foster collaboration rather than antagonism between business and legal/compliance departments
- Practical guidance on the use of compliance committees, designated compliance officers, and other specific positions/roles to foster engagement
- Getting to “yes, but”: What are best practices to communicate with the business team about compliance initiatives and a shared interest in the company’s success?
- What creative ways exist to reward (financially or otherwise) employees’ commitment to and investment in compliance?
- How unrealistic or unadjusted sales, business and marketing goals have the potential to wreck your company’s compliance program

11:30

Spotlight on Indonesia: How to Detect Bribery Schemes and Limit your Risk Exposure

Audrey Harris

Global Compliance Officer
BHP Billiton (Australia)

Charles Ball

Judge Advocate General Corps (JAGC)
U.S. Navy (Washington, DC) (former Partner & Head of Indonesia Business Team at Reed Smith LLP)

- Practical tips on how to integrate local risk scenarios into your corporate compliance program
- What can go wrong, which mitigation strategies exist and how do you apply them effectively: Anecdotal case examples shedding light on potential real-life scenarios your firm might encounter
- Revival of the Corruption Eradication Commission (KPK)? What the appointment of five new KPK commissioners means for local enforcement and your compliance program

12:15

Overcoming Cross-Border Data Transfer and Privacy Challenges: When and How to Transfer Sensitive Data for Anti-Corruption, Investigative and Compliance Purposes

Jonathan Rusch

Senior Vice President - Head of Anti-Bribery and Corruption Governance
Wells Fargo & Company (Washington, DC)

Judy Krieg

Partner, Shepherd and Wedderburn LLP (United Kingdom)

Marcelo Xavier de Freitas Crespo

Partner, Marcelo Crespo Advogados (Brazil)

- Fresh off the press: How the Panama Papers have altered the debate on data privacy in the context of fraud and bribery
- How the EU’s General Data Protection Regulation (GDPR) reshapes European data privacy law
- How data privacy restrictions may impact the conduct of international corporate anti-corruption investigations
- The “anti-FISA” Provision: How to manage a situation where cross-border sharing of personal data cannot be purely based on the decision of a foreign administrative authority, court or tribunal in the absence of an international agreement
- Q&A: Can an open-data world mitigate global corruption risk?

1:00

Networking Luncheon for Attendees and Speakers

2:15

Keynote Address

Stephen Zimmermann

Director of Operations
Integrity Vice Presidency, World Bank Group (Washington, DC)

2:35

Gifts, Entertainment & Hospitality Facts and Hypotheticals: How to Handle Complex Day-to-Day Relationship-Building Scenarios in BRIC Countries

Roberto Berry

Assistant General Counsel International Affairs and Compliance, Fiat Chrysler Automobiles US (Bloomfield Hills, MI)

Michael Pass

Deputy Chief Compliance Officer
Freeport-McMoRan Inc. (Phoenix, AZ)

Charles Duross

Partner, Morrison & Foerster LLP (Washington, DC)

- How to implement the right compliance policies and apply them properly
- What is the meaning of a BRIC country’s cultural orientation towards Gifts, Entertainment & Hospitality?

- How much tolerance of and catering to local custom is “too much”?
- How to be compliant without becoming an outcast
- How to apply the analytical tools available to assure appropriate oversight of your corporate policy regarding Gifts, Entertainment & Hospitality
- Who determines what is a “reasonable” gift, entertainment or hospitality request? What are the factors you need to consider and how do you do it?
- To what extent do companies need to even “worry about this” if all other aspects of their business seem to be in compliance with the law?

Following a discussion of the above, panel experts will examine and propose practical solutions to complex common relationship-building scenarios which can result in a corruption investigation.

3:20

Spotlight on India: How to Detect and Overcome the Top Corruption Pitfalls in India

Carolyn F. McNiven

Shareholder, Greenberg Traurig LLP
(San Francisco, CA)

- How to track and monitor payments: Ensuring your books & records and internal accounting controls meet increasing government requirements
- How to resolve sponsorship and donation dilemmas: Devising a transparent policy that meets the Indian government’s 2% profit CSR requirement
- Update on recent high-profile investigations involving India: What do they reveal about where companies are most exposed to risk?
- How to effectively conduct an internal investigation in India and mitigate potential pitfalls
- How to identify red flags relating to corrupt behavior and handle whistleblower reports in India

4:00

Refreshment Break

4:15

Mexico’s New Anti-Corruption Paradigm: Implications of the May 2015 Constitutional Amendments and Secondary 2016 Legislation

Daniel Maldonado Alcántara

Partner, Sánchez-DeVanny Eserverri, S.C.
(Mexico)

Diego Serra

Partner, Von Wobeser y Sierra, S.C.
(Mexico)

Alfredo Hernandez (Panel Moderator)

Partner, Forensic Services
PwC (Mexico)

- A new era in Mexico – The National Anticorruption System: How and why anti-corruption legislation has recently changed so dramatically
- How are Mexico’s enforcement agencies empowered by the constitutional reforms, and what new anti-corruption bodies have been created (Federal Administrative Justice Court, FAJC)?
- How to interpret the impact of the reforms on the various layers of government (federal, state and municipal)
- What are the prospects of the soon-to-be-enacted secondary legislation, and what additional anti-corruption provisions might it comprise (whistleblower protocol, etc.)?
- What role do citizens initiatives (*Ley 3 de 3*) play, and how do they influence secondary legislation?
- How international businesses operating in Mexico will need to adapt their compliance programs

5:00

Life-Cycle of an Anti-Corruption Training Program: In-Depth Discussion of How to Develop a Curriculum to Achieve Transparency, Credibility, Adherence and an Ethical Culture

Joseph Burke

Chief Compliance Counsel, Dell Inc.
(Austin, TX)

Steven Durham

Office of Global Compliance
Weatherford International (Washington, DC)

Liana Irani Affonso Cunha

Compliance Manager
Sanofi-aventis Farmacêutica Ltda (Brazil)

- How to present training and education on anti-corruption compliance as a corporate investment that pays off in the long term
- How to determine the most effective training format (on-site, remote, brochures, webinars, etc.)
- How new technologies, including apps, can enhance your company’s global compliance program
- Why is it important to implement separate training programs for in-house employees and third parties? What are the associated risks of failing to do so?
- How to manage a compliance program on an ongoing basis once it is implemented (analyzing and interpreting overwhelming numbers of whistleblower reports, etc.)
- How to avoid the “death-by-1,000-papercuts” scenario and navigate cumbersome, paper-based geographies (“nuisance corruption”) in high risk markets

6:00

Conference Concludes

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Accreditation will be sought in those jurisdictions requested by the registrants which have continuing education requirements. This course is identified as nontransitional for the purposes of CLE accreditation.

ACI certifies that the activity has been approved for CLE credit by the New York State Continuing Legal Education Board.

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You are required to bring your state bar number to complete the appropriate state forms during the conference. CLE credits are processed in 4-8 weeks after a conference is held.

ACI has a dedicated team which processes requests for state approval. Please note that event accreditation varies by state and ACI will make every effort to process your request.

Questions about CLE credits for your state? Visit our online CLE Help Center at www.americanconference.com/CLE

CONTINUING PROFESSIONAL EDUCATION CREDITS



American Conference Institute (ACI) will apply for Continuing Professional Education credits for all conference attendees who request credit. There are no pre-requisites and advance preparation is not required to attend this conference.

Course objective: Update on anti-corruption policies and procedures to prevent inappropriate payments and business conduct. Prerequisite: None. Level of knowledge: Beginner/Intermediate. Teaching Method: Group-Live. Advanced Preparation: None. Delivery method: Group Live.

Please refer to the information in this brochure for outline, course content and objectives. Final approval of a course for CPE credits belongs with each states’ regulatory board. Recommended CPE Credit: 17.5 hours for the main conference and an additional 7.0 hours for each workshop.

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2 Select your Level of Engagement

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<input type="checkbox"/> Main Conference Only	\$2095	\$2295
<input type="checkbox"/> Executive Roundtable on Compliance Programs	\$995 (\$1195 if booked without the main conference)	\$1095 (\$1295 if booked without the main conference)
<i>All program participants will receive an online link to access the conference materials as part of their registration fee.</i>		
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Venue Information at a Glance

Venue: Mandarin Oriental, Washington, DC
Address: 1330 Maryland Avenue SW, Washington, DC 20024
TEL.: (202) 554-8588
ONLINE: tinyurl.com/HighRiskMarkets16

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ACI offers financial scholarships for government employees, judges, law students, non-profit entities and others. For more information, please email or call customer service.

Fee Includes

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July 18-20, 2016 | Mandarin Oriental Hotel | Washington, DC



7th Global Forum on

ANTI-CORRUPTION COMPLIANCE *in High Risk Markets*

Practical, Country-Specific Strategies to Detect, Investigate and Mitigate Bribery and Enforcement Risks

American Conference Institute
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New York, NY 10010

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Business Information in a Global Context

This year marks 30 years since the inception of C5 Group. It is time to match our brand with the dynamic strides we have made. See inside for details...

New

Executive Roundtable on Compliance Programs
July 20, 2016

July 18-20, 2016 | Mandarin Oriental Hotel | Washington, DC

7th Global Forum on

ANTI-CORRUPTION COMPLIANCE

in High Risk Markets

Practical, Country-Specific Strategies to Detect, Investigate and Mitigate Bribery and Enforcement Risks





Attendance is limited and will be on a first-come, first-served basis. Book early to guarantee your place at this new Executive Roundtable.

July 20, 2016 | Mandarin Oriental Hotel | Washington, DC

Executive Roundtable on ANTI-CORRUPTION COMPLIANCE PROGRAMS

EARN CLE/CPE CREDITS

The Executive Roundtable will be chaired by:

Katherine Choo
Chief Investigative
& Anti-Corruption Counsel
GE Company (New York)

Sujata Dayal
Vice President Health Care
Compliance & Privacy
Johnson & Johnson
(Chicago)

Therese Lee
Senior Counsel
Google Inc.
(San Francisco)

Brady K. Long
Senior Vice President
& General Counsel
Transocean (Houston)

This single-day interactive roundtable offers a unique opportunity to benchmark your FCPA compliance program with your industry peers, featuring experienced in-house executives who will discuss the DOJ’s and SEC’s expectations for an effective anti-corruption program. Moderators will lay out a roadmap of best practices and address questions and concerns of the audience – in an informal and highly practical format. Don’t miss this opportunity to obtain real-world insights not provided within traditional conference formats.

8:30
Registration Begins

9:00
Opening Remarks

9:15
The Design, Structure and Backbone of an Effective Anti-Corruption Program

- What is the importance of having a robust compliance infrastructure at the heart of an effective anti-corruption program?
- How to effectively communicate and instill the right compliance mindset within your organization
- How and when to implement and apply the most effective policies and procedures in the furtherance of a thoughtful anti-corruption compliance program

10:00
Identifying and Grooming the Next Generation of Compliance Champions at All Layers of Your Organization: Assembling the Best Team, Sharing Ownership and Commitment, Operational and Financial Capacity, Personnel and Stakeholder Communication

- What parties and in-house stakeholders need to be involved, why, and to what extent, respectively?
- How to ensure each party involved feels it has a stake and say in the process: Making sure everyone is “feeling heard”

.....
“Compliance is not for the faint heart. You have to have backbone and good judgment and excellent people skills”

Hui Chen, U.S. Department of Justice Compliance Counsel Expert
– February 1, 2016
.....

10:45

How to Achieve C-Suite Buy-in for Your Compliance Program

- How important is top-level buy-in?
- What are the consequences of failing to have C-suite backing for compliance?
- What practical strategies can you employ to win over the C-Suite?

11:15

Refreshment Break

11:30

What are Appropriate Financial Resources for Compliance: How to Identify What Resources Are Most Critical to a Robust Program

12:15

Cultural Translation of Compliance: 5 Hurdles to Overcome to Ensure Robustness of Your Global Anti-Corruption Training Program

- Geography, culture and language
- Distance: on-site vs. remote
- Local buy-in
- Monitoring and accountability
- Training

1:00

Networking Luncheon for Attendees and Speakers

2:00

Best Practices for Third Party Intermediary and Partner Due Diligence and Ongoing Monitoring

- How to ensure effective monitoring: in-house vs. outside party reviews
- How to conduct background and reference checks
- What value lies in having face time with third parties?

Workshop 680L16-WAS:SEP

1 Choose your Registration Method

- PHONE:** 1-888-224-2480
- EMAIL:** CustomerService@AmericanConference.com
- FAX:** 1-877-927-1563
- ONLINE:** www.FCPAconference.com/HighRisk
- MAIL:** American Conference Institute
45 West 25th Street, 11th Floor, New York, NY 10010

2 Fee Per Delegate	Register & Pay by June 3, 2016	Register & Pay after June 3, 2016
<input type="checkbox"/> Executive Roundtable on Compliance Programs	\$1195 (\$995 with FCPA High Risk Conference - Save \$200)	\$1295 (\$1095 with FCPA High Risk Conference - Save \$200)

3:15

Leveraging Up-and-Coming Technology and Data Analytics that Provide Meaningful Metrics and Drive your Compliance Strategy: Dashboards, Average Headcount, Average Cycle Time

- What is the importance of metrics and trackable data? Why care?
- What are the most innovative compliance technology gadgets?
- How to interpret data and ensure it is accounted for during your compliance program review

4:00

Refreshment Break

4:15

How to Perform a Program Self-Assessment and Keep It Current to Satisfy Government Expectations: How to Test Whether Your Program is Working

- What are the most effective self-assessment mechanisms?
- How to choose the right assessment tool that fits your unique organization
- What is the benefit of proactive cooperation with regulating authorities and the government?
- How to interpret the DOJ's new FCPA Pilot Program to encourage self-reporting

5:00

Post-Roundtable Deliberations: Presentation of Findings and General Consultations

6:00

Executive Roundtable Concludes

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ACI has a dedicated team which processes requests for state approval. Please note that event accreditation varies by state and ACI will make every effort to process your request.

Questions about CLE credits for your state? Visit our online CLE Help Center at www.americanconference.com/CLE

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